

**CONFIDENTIAL****PARTICULARS OF BROKING STAFF**

Name of Company		Date Appointed
Name of Broking Staff (as in NRIC/Passport)		Sex: M/F*
Residential Address		
Business Address		
Citizenship & NRIC /Passport No		Date & Place Of Birth
Annual Remuneration & Fringe Benefits		% Shareholding in the Company
Designation & Description of Duties/ Responsibilities		

1 Qualifications, Experience and Achievements

(a) Academic Qualifications:

<u>Name of Institute</u>	<u>Country</u>	<u>Qualification</u>	<u>Year of Graduation</u>
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- delete where inapplicable

(b) Professional Qualifications: (Please tick in the appropriate box)

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|-----------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/> Certificate / Diploma in General Insurance (CGI / DGI)*              | <input type="checkbox"/> Senior Associate / Fellow of the Australia & NZ Institute of Insurance and Finance (SAANZIIF/FANZIIF)* (Life / General)* |
| <input type="checkbox"/> Certificate / Diploma in Life Insurance (CLI / DLI)*                 | <input type="checkbox"/> Associate/Fellow of the UK Chartered Insurance Institute (ACII / FCII)* (Life / General)*                                |
| <input type="checkbox"/> Certificate in Life Insurance (Investment-Linked Policies) (CLI-ILP) | <input type="checkbox"/> Certificate in Insurance Practice (CIP)                                                                                  |
- Others (State whether admitted by examination or experience)

<u>Name of Professional Institute</u>	<u>Qualification</u>	<u>Class of Membership</u>	<u>Year Obtained</u>
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(c) Working Experience: (Please enclose a separate attachment if necessary)

<u>Name of Employer</u>	<u>Nature of Business</u>	<u>Designation &amp; Description of Duties</u>	<u>Period From</u>	<u>To</u>
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(d) Achievements and special positions held presently or previously (please state date of achievement and period of special positions held)

## 2 Shareholdings and Directorships/Positions Held in Other Companies

(a) Do you have equity interest of 5% or more in any other firms or companies? If so, please provide the following details:

<u>Name of company &amp; principal activity</u>	<u>Country of incorporation</u>	<u>Percentage held in the company</u>
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\* delete where inapplicable

(b) Are you also a director, partner, proprietor or employee in any other firms or companies? If so, please provide the following details:

<u>Name of company &amp; principal activity</u>	<u>Country of incorporation</u>	<u>Position held in the company</u>
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***(Please attach annexures, where appropriate, giving all relevant particulars if any of the answers to Questions 3-5 is 'yes'.)***

3 Have you ever been:

(a) involved in any litigation over the past 5 years?

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(b) convicted of any offence in Singapore or elsewhere or are there any proceedings now pending which may lead to such a conviction?

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(c) adjudicated bankrupt during the last ten years?

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4 Have you ever been:

(a) dismissed from office or employment, subject to disciplinary proceedings by your employer or been refused entry to any profession or occupation?

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(b) disqualified from acting as director or in the management of any company or organisation?

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(c) refused (or revoked) a licence or authorisation to carry on any regulated financial business?

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(d) subject to any censure or disciplinary action initiated by any governmental, regulatory or professional body?

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5 Have you been associated with any company:

(a) as a director, officer, manager or shareholder, which was investigated by any governmental, regulatory or professional body?

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(b) which was compulsorily wound up during the last 10 years?

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### **CERTIFICATION**

I hereby certify, to the best of my knowledge and belief, that the information given in these four pages are complete, true and correct.

Date \_\_\_\_\_

Signature \_\_\_\_\_