

**NEW FORM 30 UNDER THE
FINANCIAL ADVISERS ACT AND FINANCIAL ADVISERS REGULATIONS
ON STATEMENT OF REVENUE UNDER REGULATION 37(1A)(b) BY
REGISTERED INSURANCE BROKERS EXEMPT FROM HOLDING A
FINANCIAL ADVISER'S LICENCE UNDER SECTION 23(1)(c)**

Disclaimer: This version of the Form is in draft form and is subject to change.

FINANCIAL ADVISERS ACT
 (Cap. 110)
FINANCIAL ADVISERS REGULATIONS
 (Rg 2)
STATEMENT OF REVENUE UNDER
REGULATION 37(1A)(b) BY REGISTERED
INSURANCE BROKERS EXEMPT FROM
HOLDING A FINANCIAL ADVISER'S
LICENCE UNDER SECTION 23(1)(c)

FORM

30

Name of registered insurance broker: _____

Statement for the financial year: _____ to _____

(dd/mm/yy) (dd/mm/yy)

REVENUE EARNED FROM CARRYING ON A BUSINESS OF PROVIDING ANY OR ALL FINANCIAL ADVISORY SERVICES	S\$
Fees, brokerage, commission and income from:	
a) advising others, either directly or through publications or writings, and whether in electronic, print or other form, concerning any investment product, other than in the manner specified in paragraph (b) or advising on corporate finance within the meaning of the Securities and Futures Act (Cap. 289);	
b) advising others by issuing or promulgating research analyses or research reports, whether in electronic, print or other form, concerning any investment product;	
c) marketing of any collective investment scheme; or	
d) arranging of any contract of insurance in respect of life policies, other than a contract of reinsurance.	
<i>Total revenue earned from carrying on a business of providing any or all of the financial advisory services</i>	

STATEMENT BY REGISTERED INSURANCE BROKER EXEMPT FROM
HOLDING A FINANCIAL ADVISER'S LICENCE UNDER SECTION 23(1)(c)

This statement is submitted on behalf of **(Name of the registered insurance broker)** by **(Name of Director / Chief Executive Officer*)**, who certifies that:

- (a) the above statement has, to the best of his knowledge and belief, been drawn up to comply with the requirements of the Financial Advisers Act (Cap. 110) and the requirements as may be prescribed or specified by the Authority; and
- (b) the information contained in the above statement is to the best of his knowledge and belief true and correct.

Dated this (dd/mm/yy): _____

Instructions for completion of Form 30

- (1) All amounts shown in this Form are to be rounded up to the nearest dollar. Negative amounts shall be preceded by “-”.
- (2) The term “revenue” has the same meaning as in regulation 17A(3) of the Financial Advisers Regulations (Rg. 2).