

SECURITIES AND FUTURES ACT
(CHAPTER 289)

SECURITIES AND FUTURES (FINANCIAL AND MARGIN
REQUIREMENTS FOR HOLDERS OF CAPITAL MARKETS
SERVICES LICENCES) REGULATIONS (Rg 13)

REGULATION 27(9)

**AUDITOR'S CERTIFICATION —
FOR A HOLDER OF A CAPITAL MARKETS
SERVICES LICENCE**

FORM

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I/We* report that in my/our* opinion, the statements specified in sub-paragraphs (a) to (e) of regulation 27(9) of the Securities and Futures (Financial and Margin Requirements for Holders of Capital Markets Services Licences) Regulations (Rg 13), as the case may be, lodged by _____ (name of holder of a capital markets services licence) to the Monetary Authority of Singapore, in the manner specified in regulation 26 of those Regulations, have been properly drawn up in accordance with the requirements of regulation 27 of those Regulations.

The accompanying audited financial statements, which include the balance sheet as at _____, the profit and loss account, statement of changes in equity and cash flows for the year ended on _____ [date of balance sheet] and notes thereto, have been drawn up in accordance with Singapore Statements of Accounting Standards or Financial Reporting Standards.

_____ (Signature)

_____ (Firm)

Public Accountants and Chartered Accountants
Singapore

_____ (Date)

* Delete whichever is inapplicable.