



Circular No.: FAS 13/2026

30 September 2026

To: Chief Executive Officers

[All Licensed Financial Advisers, Exempt Financial Advisers, Holders of Capital Markets Services Licence, Exempt Capital Markets Services Entities, Registered Insurance Brokers, Exempt Insurance Brokers and Licensed Direct Insurers]

Dear Sir/Madam

MISCONDUCT REPORT AND INVESTIGATION REPORT TEMPLATES (“TEMPLATES”)

The Monetary Authority of Singapore (“MAS”) has today published the Templates to support financial institutions’ (“FIs”) preparations for the implementation of the new misconduct reporting requirements under the Financial Advisers Act 2001, Insurance Act 1966 and Securities and Futures Act 2001, which will come into effect on 1 January 2027¹.

2 The Templates set out the information that FIs will provide when completing the misconduct report and investigation report webforms in the new misconduct reporting system (“MRS”). FIs should review the Templates and take the necessary steps to ensure operational readiness for the submission of reports through the new MRS from 1 January 2027.

3 The Templates are available on the MAS website at this [link](#).

4 Please contact your MAS Review Officer if you require any clarification.

Yours faithfully

(Sent via MAS-Tx)

KOH HONG ENG
EXECUTIVE DIRECTOR
FINANCIAL ADVISERS SUPERVISION DEPARTMENT

¹ The new misconduct reporting requirements are set out in the following Notices: FAA-N27 Notice on Reporting of Misconduct of Representatives by Financial Advisers ([link](#)), MAS 508 Notice on Reporting of Misconduct of Broking Staff by Insurance Brokers and of Representatives by Accident and Health Insurance Intermediaries ([link](#)), and SFA 04-N24 Notice on Reporting of Misconduct of Representatives by Holders of Capital Markets Services Licence and Exempt Persons ([link](#)).