

DECLARATION BY APPOINTEE

I declare that:

- ☐ I am fully aware of my duty to not provide false information to the Authority as set out in section 114 of the Act. The provision of information to the Authority that is false or misleading, or the signing of any document lodged with the Authority that is false or misleading, may constitute an offence under section 114 of the Act.
- ☐ I am aware that under section 63(5) of the Act, read with regulation 13(2)(g) of the Financial Advisers Regulations, the Authority may refuse the application for appointment of chief executive officer/director if the appointee/director fails to satisfy the Authority that he is a fit and proper person to be approved.
- ☐ I have read the Guidelines on Fit and Proper Criteria (the “Guidelines”) issued by the Authority and in submitting this form, I am satisfied that I am a fit and proper person based on the criteria stated in the Guidelines.
- ☐ I declare that all information given in this application and Appendix and in the attached annexes (if any) is true and correct.

Signature : _____

Name : _____

Designation : _____

Date : _____
(DD/MM/YYYY)