

SECURITIES AND FUTURES ACT 2001
SECURITIES AND FUTURES (APPROVED HOLDING
COMPANIES) REGULATIONS 2005
REGULATION 6(a)
**INFORMATION ON SHAREHOLDERS AND
SUBSIDIARIES**

FORM

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Explanatory Notes

1. Please read the explanatory notes and questions carefully before completing the form.
2. All questions must be answered. If a question is not applicable, please mark “N.A.” in the space provided. If there is insufficient space for your answers, please attach annex(es) which should be identified as such and signed by the signatory to this application.
3. Where there is an asterisk (*), please delete whichever is inapplicable.
4. Please tick (✓) in the relevant boxes where appropriate.
5. This application is to be signed by 2 directors or a director and a secretary of the applicant, and must be accompanied by the relevant documents and information requested in the various parts of this application.
6. If there are any changes in the submitted information prior to the completion of the review of this application, the Monetary Authority of Singapore should be notified immediately.

1. Provide a diagrammatic representation of the applicant's group ownership structure showing the applicant's shareholders (whether corporation or individual) who hold 5% or more of the applicant's issued share capital, and the applicant's subsidiaries. Details to be provided at each ownership level should include each shareholder's percentage shareholding ownership in the applicant and the applicant's percentage shareholding ownership in each of its subsidiaries.
2. Provide the following details on the applicant's parent corporation (if applicable) or majority shareholder who is a corporation:
 - (a) name:
 - (b) address and country of the principal place at which the business is carried out:
 - (c) telephone number and facsimile number:
 - (d) e-mail address and URL of the internet web page (if applicable):
 - (e) registered office (address and country):
 - (f) form of entity (e.g., association, corporation, etc.):
 - (g) date and place of formation or incorporation:
 - (h) brief description of shareholder's business or functions:
 - (i) names of the chairman, other members of the board of directors and chief executive officer of the shareholder:
 - (j) if applicable, list of names and addresses of persons holding 50% or more of the share capital of the shareholder.
 - (k) attach certified true copies of the latest audited profit and loss account, audited balance-sheet and auditors' report, by whatever name called.

3. If anyone, other than those shown as directors and shareholders of the applicant, has ultimate controlling interest in the applicant, or directly or indirectly exercises or has the power to exercise controlling influence over the management and policies of the applicant, give details of each such person including the name, nationality, identity card number, unique identification number (for Singapore citizen or permanent resident) or passport number (for non-Singapore citizen), address, occupation, academic/professional qualifications and executive directorship in any other corporation.
4. Provide the following details on the applicant's majority shareholder who is a natural person:
 - (a) name:
 - (b) residential address and country:
 - (c) telephone number and facsimile number:
 - (d) place of birth and date of birth (dd/mm/yy):
 - (e) nationality:
 - (f) identity card number/unique identification number (for Singapore citizen or permanent resident)/passport number (for non-Singapore citizen):
 - (g) occupation:
 - (h) directorships held in other corporations:

5. Provide the following details on the applicant's subsidiaries (use a separate sheet for each subsidiary):

(a) name:

(b) address and country of the principal place at which the business is carried out:

(c) date and place of formation or incorporation:

(d) brief description of subsidiary's business or functions:

(e) names of the chairman, other members of the board of directors and chief executive officer of the subsidiary:

6. DECLARATION

1. We are fully aware of our duty to not provide false information to the Authority as set out in section 329 of the Act. The provision of information to the Authority that is false or misleading, or the signing of any document lodged with the Authority that is false or misleading, may constitute an offence under section 329 of the Act.
2. We declare that all information given in this application and in the attached annexes and forms (if any) are true and correct.

Signature

Signature

Name of Director

Name of Director/Secretary*

Date (dd/mm/yy)

Date (dd/mm/yy)