

SECURITIES AND FUTURES ACT
(CAP. 289)

SECURITIES AND FUTURES (FINANCIAL
BENCHMARKS) REGULATIONS 2018

REGULATION 18(2)

**NOTIFICATION TO CARRY ON A BUSINESS OR
ACTIVITY OF PROVIDING INFORMATION IN
RELATION TO A DESIGNATED BENCHMARK AS
AN EXEMPT BENCHMARK SUBMITTER**

FORM

4

Explanatory Notes

1. Please read the explanatory notes and questions carefully before completing the notification form.
2. All questions must be answered. If a question is not applicable, please mark "N.A." in the space provided. If such space is not provided, the question has to be answered. Forms with unanswered questions will be deemed to be incomplete and therefore rejected. If there is insufficient space for your answers, please attach annex(es) which should be identified as such and signed by the signatories to this application.
3. Where there is an asterisk (*), please delete whichever is inapplicable.
4. Please tick (√) in the relevant boxes where appropriate.
5. If there are any changes in the information furnished in the application prior to the completion of the review of this application, the Monetary Authority of Singapore (the "Authority") should be notified immediately.
6. This notification form shall be signed by 2 directors or a director and the secretary of the notifying company. The notifying company, for the purposes of this notification, must be an exempt benchmark submitter.

I. INFORMATION ON THE COMPANY

(a) Name of exempt benchmark submitter:

(b) Designated benchmark (Name of designated benchmark, e.g. SIBOR, SOR):

(c) Intended commencement date:

II. DETAILS OF CONTACT PERSON

(a) Full name:

(b) Designation:

(c) Email:

(d) Contact number:

III. SELF-CERTIFICATION

Please certify() the following:

- We, as an exempt benchmark submitter, hereby confirm that we will carry on activities relating to the submission and provision of information to the designated benchmark stated above.

- We will ensure that the commencement date of providing information in relation to the designated benchmark above is at least 14 days after the date this notification is lodged with the Authority.

IV. DECLARATION

1. We are aware that sections 329(3) and (4) of the Securities and Futures Act (Cap. 289) provide as follows:

Any person who signs any document lodged with the Authority shall use due care to ensure that the document is not false or misleading in any material particular. Any person who contravenes this requirement shall be guilty of an offence and shall be liable on conviction to a fine not exceeding \$50,000 or to imprisonment for a term not exceeding 2 years or to both.

2. We declare that all information given in this notification and in the attached annexes (if any) are true and correct.

Signature

Signature

Name of Director

Name of Director/Secretary*

Date (dd/mm/yy)

Date (dd/mm/yy)