

SECURITIES AND FUTURES ACT  
(CAP. 289)

SECURITIES AND FUTURES (FINANCIAL  
BENCHMARKS) REGULATIONS 2018

REGULATION 28(1)

**NOTIFICATION TO CONTINUE CARRYING ON A  
BUSINESS OR ACTIVITY OF PROVIDING  
INFORMATION IN RELATION TO A DESIGNATED  
BENCHMARK AS AN EXEMPT BENCHMARK  
SUBMITTER FROM 8 OCT 2018**

FORM

**6**

*Explanatory Notes*

1. Please read the explanatory notes and questions carefully before completing the notification form.
2. All questions must be answered. If a question is not applicable, please mark "N.A." in the space provided. If such space is not provided, the question has to be answered. Forms with unanswered questions will be deemed to be incomplete and therefore rejected. If there is insufficient space for your answers, please attach annex(es) which should be identified as such and signed by the signatories to this application.
3. Where there is an asterisk (\*), please delete whichever is inapplicable.
4. Please tick (√) in the relevant boxes where appropriate.
5. If there are any changes in the information furnished in the application prior to the completion of the review of this application, the Monetary Authority of Singapore (the "Authority") should be notified immediately.
6. This notification form is to be signed by 2 directors or a director and the secretary of the notifying company. The notifying company, for the purposes of this notification, must be an exempt benchmark submitter.
7. This notification form is for the purpose of informing the Authority that the exempt benchmark submitter has been carrying out the business or activity of providing information in relation to a designated benchmark before the commencement date of the Securities and Futures (Financial Benchmarks) Regulations 2018 and intends to continue doing so from the commencement date.

I. INFORMATION ON THE COMPANY

(a) Full name of exempt benchmark submitter:

(b) Designated benchmark (Name of designated benchmark, e.g. SIBOR, SOR):

II. DETAILS OF CONTACT PERSON

(a) Full name:

(b) Designation:

(c) Email:

(d) Contact number:

III. DECLARATION

1. We are aware that sections 329(3) and (4) of the Securities and Futures Act (Cap. 289) provide as follows:

**Any person who signs any document lodged with the Authority shall use due care to ensure that the document is not false or misleading in any material particular. Any person who contravenes this requirement shall be guilty of an offence and shall be liable on conviction to a fine not exceeding \$50,000 or to imprisonment for a term not exceeding 2 years or to both.**

2. We, as an exempt benchmark submitter, hereby confirm that we have been engaging in the business or activity of providing information to the designated benchmark stated above prior to the commencement of the Securities and Futures (Financial Benchmarks) Regulations 2018 and will continue to do so going forward.
3. We declare that all information given in this notification and in the attached annexes (if any) are true and correct.

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Signature

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Name of Director

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Date (dd/mm/yy)

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Signature

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Name of Director/Secretary\*

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Date (dd/mm/yy)