

SECURITIES AND FUTURES ACT  
(CAP. 289)

SECURITIES AND FUTURES (CENTRAL DEPOSITORY  
SYSTEM) REGULATIONS 2015

REGULATION 11(4)

**NOTIFICATION OF BANKRUPTCY OF  
SUB-ACCOUNT HOLDER**

FORM

**5**

*Explanatory Notes*

1. Please read the explanatory notes and questions carefully before completing the form.
2. All fields must be completed. If a field is not applicable, please mark "N.A" in the space provided.
3. Where there is an asterisk (\*), please delete whichever is inapplicable.
4. Where execution is on behalf of a body corporate, each signatory should state his/her representative capacity (e.g. 'Company Secretary', 'Director') against his/her signature.
5. Corporation is required to have at least 2 authorised signatories as per Depository's records.
6. This Form must be accompanied by a certified true copy of the order appointing the trustee(s) in bankruptcy.
7. Submission of this Form must be accompanied by the fees payable to the Depository and/or the depository agent for the Notification of Bankruptcy of Sub-Account Holder.



V

**\*TO BE USED IF**

**(A) SUCCESSORS / NOMINEES WISH TO TRANSFER SECURITIES TO DESIGNATED SECURITIES ACCOUNT(S) / SUB-ACCOUNT(S) MAINTAINED WITH THE SAME DEPOSITORY AGENT; OR  
(B) SUCCESSORS / NOMINEES WISH TO TRANSFER SECURITIES TO OTHER SECURITIES ACCOUNT(S) / SUB-ACCOUNTS MAINTAINED WITH OTHER DEPOSITORY AGENTS.**

\*I/We hereby request that the abovementioned book-entry securities to be transferred to designated securities account(s)/sub-account(s) as follows:

(1) First Successor / Nominee

Name \_\_\_\_\_

NRIC/Passport No.

Securities A/c No.  -  -

(2) Second Successor / Nominee

Name \_\_\_\_\_

NRIC/Passport No.

Securities A/c No.  -  -

Name of Securities (in full)	Quantity

Name of Securities (in full)	Quantity

(3) Third Successor / Nominee

Name \_\_\_\_\_

NRIC/Passport No.

Securities A/c No.  -  -

(4) Fourth Successor / Nominee

Name \_\_\_\_\_

NRIC/Passport No.

Securities A/c No.  -  -

Name of Securities (in full)	Quantity

Name of Securities (in full)	Quantity

VI

**Signed**

1. .... 2. ....

3. .... 4. .... Date .....

VII

**TO BE EXECUTED BY DEPOSITORY AGENTS IF PART V OF THIS FORM IS COMPLETED BY SUCCESSOR**

TO : THE CENTRAL DEPOSITORY (PTE) LIMITED

Kindly effect the transfer of the abovementioned book-entry securities to the designated securities account(s)/sub-account(s) mentioned in Part V of this Form.

**Signed**

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Authorised Signatories of Depository Agent

-----  
Date

**FOR OFFICIAL USE:**

Received by/Date: ----- Verified by/Date: -----

Input by/Date: ----- Approved by/Date: -----