

SECURITIES AND FUTURES ACT
(CHAPTER 289)

SECURITIES AND FUTURES (FINANCIAL AND MARGIN
REQUIREMENTS FOR HOLDERS OF CAPITAL MARKETS
SERVICES LICENCES) REGULATIONS (Rg 13) REGULATION
27(5)

**STATEMENT OF EXPOSURE TO
MARGIN CUSTOMERS**

FORM
8

Name of the holder of a capital markets services licence: _____

Statement as at: _____
(dd/mm/yy)

Top 10 margin customers with largest debit balances

| | Name of Customer | Account Debit Balance \$ | Equity \$ | Account Debit Balance as a percentage of free financial resources |
|------------------------|------------------|-----------------------------|--------------|---|
| 1 | | | | |
| 2 | | | | |
| 3 | | | | |
| 4 | | | | |
| 5 | | | | |
| 6 | | | | |
| 7 | | | | |
| 8 | | | | |
| 9 | | | | |
| 10 | | | | |
| Sub-total | | | | |
| Other margin customers | | | | |
| Total | | | | |

**STATEMENT BY HOLDER OF CAPITAL
MARKETS SERVICES LICENCE**

This statement is submitted on behalf of **(Name of the licensee)** by **(Name of Director)**, who certifies that:

(a) **(Name of the Licensee)**

complied with

did not comply with

regulation 24(3)(c) of the Securities and Futures (Financial and Margin Requirements for Holders of Capital Markets Services Licences) Regulations (Rg 13) for the quarter ended _____ (dd/mm/yy);
and

(b) the above statement has, to the best of his knowledge and belief, been drawn up to comply with the requirements of the Securities and Futures Act (Cap. 289) and the requirements as may be prescribed or specified by the Authority; and

(c) the information contained in the above statement is to the best of his knowledge and belief true and correct.

Dated this (dd/mm/yy): _____

Note:

If any part of this report is qualified, a separate report giving details of such qualifications shall be submitted to the Authority together with the statements and forms referred to in regulation 27(8) and (9). Submission shall be made by personal delivery or by pre-paid post.