

SECURITIES AND FUTURES ACT (CHAPTER 289)
SECURITIES AND FUTURES (OFFERS OF
INVESTMENTS) (COLLECTIVE INVESTMENT
SCHEMES) REGULATIONS 2005
GENERAL LODGMENT FORM

FORM

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1. Name of collective investment scheme:

2. Name of umbrella fund (if applicable):

3. Type of scheme:
 Authorised scheme Recognised scheme
 Authorisation pending Recognition pending
4. Date of authorisation/recognition (if applicable): _____
5. Name of responsible person:

6. Type of document being lodged:
 Preliminary document (section 296(1A) of the SFA)
 Prospectus (section 296(1) of the SFA)
 Updated prospectus (section 296(1) of the SFA)
 Amendment to prospectus (section 296(6C) of the SFA)
 Supplementary prospectus (section 298(1) or 298(2) of the SFA)
 Replacement prospectus (section 298(1) or 298(2) of the SFA)
 Profile statement (section 296(2) of the SFA)
 Updated profile statement (section 296(2) of the SFA)
 Amendment to profile statement (section 296(6C) of the SFA)
 Supplementary profile statement (section 298(1) or 298(2) of the SFA)
 Replacement profile statement (section 298(1) or 298(2) of the SFA)
 Offer information statement (section 305B(1) of the SFA)
 Other (specify) _____

7. Where the person(s) making the offer is not the responsible person, please attach annex(es) containing the following particulars in respect of each person making the offer:

- (a) Name of person making the offer;
- (b) Where the person making the offer is an individual, the individual's NRIC/passport number and citizenship/country of issue of passport;
- (c) Where the person making the offer is an entity, the entity's country of incorporation or constitution and registration number (if the entity is registered), and the name of the officer or equivalent person representing the entity in this lodgment;
- (d) Address, email address, telephone number and fax number.

The annex must be signed by the person making the offer (where the person making the offer is an individual) or the officer or equivalent person representing the entity in this lodgment (where the person making the offer is an entity).

LODGED WITH THE AUTHORITY —

By the person making the offer*:

Name: _____

Where the person making the offer is an entity, name of the officer or equivalent person representing the entity in this lodgment: _____

Address: _____

Email address: _____

Tel No.: _____ Fax No.: _____

Signature of the person making the offer
(where the person making the offer is an individual) /
the officer or equivalent person representing
the entity in this lodgment
(where the person making the offer is an entity)

By the person making the offer through an advocate and solicitor acting on his behalf*:

Name of advocate and solicitor: _____

Corporation/Firm: _____

Address: _____

Email address: _____

Tel No.: _____ Fax No.: _____

Signature of advocate and solicitor

Name of responsible person: _____

Address: _____

Email address: _____

Tel No.: _____ Fax No.: _____

* Documents may be lodged by the person making the offer or through an advocate and solicitor acting on his behalf. Please delete whichever section is inapplicable.

FOR OFFICIAL USE ONLY

Date of lodgment:

Cheque No:

Remarks: