

Annex 2

Table 1: Settlement outcomes for Lehman Minibond Notes, DBS High Notes 5, and Pinnacle Series 9 & 10 Notes by Banks and Finance Company as of 31 May 2009

	<b>ABN</b>	<b>DBS</b>	<b>MBB</b>	<b>HLF</b>	<b>Total</b>
Number of investors	870	1083	2456	2781	7190
Cases received	637	873	1757	2284	5551
<b>Cases decided<sup>1</sup></b>	<b>637</b>	<b>866</b>	<b>1704</b>	<b>2145</b>	<b>5352</b>
Settlement outcomes for cases decided (% of cases decided)					
<b>- full or partial</b>	<b>262 (41.1%)</b>	<b>197 (22.8%)</b>	<b>1100 (64.6%)</b>	<b>2048 (95.5%)</b>	<b>3607 (67.4%)</b>
- full	91 (14.3%)	64 (7.4%)	325 (19.1%)	893 (41.6%)	1373 (25.7%)
- partial (50% and above)	123 (19.3%)	71 (8.2%)	172 (10.1%)	958 (44.7%)	1324 (24.7%)
- partial (below 50%)	48 (7.5%)	62 (7.2%)	603 (35.4%)	197 (9.2%)	910 (17%)
<b>- nil</b>	<b>375 (58.9%)</b>	<b>669 (77.2%)</b>	<b>604 (35.4%)</b>	<b>97 (4.5%)</b>	<b>1745 (32.6%)</b>
Amount invested for cases decided	S\$71.9m	S\$84.1m	S\$82.4m	S\$86.1m	S\$324.5m
<b>Value of settlement offers (% of amount invested for cases decided)</b>	<b>S\$14.1m (19.6%)</b>	<b>S\$7.6m (9%)</b>	<b>S\$25.3m (30.7%)</b>	<b>S\$57.6m (66.9%)</b>	<b>S\$104.6m (32.2%)</b>

<sup>1</sup> The cases that have yet to be decided comprise new complaints and cases where information is pending from complainants.

Table 2: Settlement outcomes for Lehman Minibond Notes, Merrill Lynch Jubilee Series 3 Linckearner Notes and Pinnacle Series 9 & 10 Notes by Stockbroking Firms as of 31 May 2009

	<b>CIMB</b>	<b>DMG</b>	<b>KESPL</b>	<b>OSPL</b>	<b>PSPL</b>	<b>UOBKH</b>	<b>Total</b>
Number of investors	217	63	208	1204	712	315	2719
Cases received	91	16	49	404	242	150	952
<b>Cases decided<sup>1</sup></b>	<b>88</b>	<b>15</b>	<b>49</b>	<b>377</b>	<b>213</b>	<b>147</b>	<b>889</b>
Settlement outcomes for cases decided (% of cases decided)							
- full or partial	<b>53</b> <b>(60.2%)</b>	<b>1</b> <b>(6.7%)</b>	<b>21</b> <b>(42.9%)</b>	<b>128</b> <b>(34%)</b>	<b>86</b> <b>(40.4%)</b>	<b>8</b> <b>(5.5%)</b>	<b>297</b> <b>(33.4%)</b>
- full	3 (3.4%)	1 (6.7%)	2 (4.1%)	0 (0%)	3 (1.4%)	2 (1.4%)	11 (1.2%)
- partial (50% and above)	6 (6.8%)	0 (0%)	10 (20.4%)	18 (4.8%)	1 (0.5%)	2 (1.4%)	37 (4.2%)
- partial (below 50%)	44 (50%)	0 (0%)	9 (18.4%)	110 (29.2%)	82 (38.5%)	4 (2.7%)	249 (28%)
- nil	<b>35</b> <b>(39.8%)</b>	<b>14</b> <b>(93.3%)</b>	<b>28</b> <b>(57.1%)</b>	<b>249</b> <b>(66%)</b>	<b>127</b> <b>(59.6%)</b>	<b>139</b> <b>(94.5%)</b>	<b>592</b> <b>(66.6%)</b>
Amount invested for cases decided	S\$4.6m	S\$0.5m	S\$2.9m	S\$23.1m	S\$9.2m	S\$8.9m	S\$49.2m
Value of settlement offers (% of amount invested for cases decided)	<b>S\$0.49m</b> <b>(10.7%)</b>	<b>S\$0.02m</b> <b>(4%)</b>	<b>S\$0.31m</b> <b>(10.7%)</b>	<b>S\$1.22m</b> <b>(5.3%)</b>	<b>S\$0.61m</b> <b>(6.6%)</b>	<b>S\$0.09m</b> <b>(1%)</b>	<b>S\$2.74m</b> <b>(5.6%)</b>