

SECURITIES AND FUTURES ACT
(Cap. 289)

SECURITIES AND FUTURES
(LICENSING AND CONDUCT OF BUSINESS)
REGULATIONS (Rg 10)

**NOTICE OF CESSATION OF BUSINESS OF PERSON
EXEMPTED FROM HOLDING A CAPITAL
MARKETS SERVICES LICENCE TO CARRY ON
BUSINESS IN LEVERAGED FOREIGN EXCHANGE
TRADING UNDER PARAGRAPH 4(6)(c) OF THE
SECOND SCHEDULE OF THE REGULATIONS**

FORM
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I Information on the exempt person

Name of corporation /
sole proprietorship / partnership* : _____

E-mail address : _____

NRIC No. / Company /
Business Registration number* : _____

II Date of cessation

Date of cessation of business in respect
of leveraged foreign exchange trading
with accredited investors : _____
(dd/mm/yy)

III Reasons for cessation

Reasons for cessation of business in respect of leveraged foreign exchange trading with
accredited investors:

Signature : _____

Name : _____

Designation : Director / Company Secretary /
Sole Proprietor / Partner*

Date : _____

(dd/mm/yy)

* Delete whichever is inapplicable