

SECURITIES AND FUTURES ACT  
(Cap. 289)

SECURITIES AND FUTURES  
(LICENSING AND CONDUCT OF BUSINESS)  
REGULATIONS (Rg 10)

**REGISTER OF INTERESTS IN SECURITIES UNDER  
SECTION 131(1)**

FORM  
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*Explanatory Notes*

1. Particulars of interests in securities required to be recorded in the register under section 131 of the Securities and Futures Act relate to only those securities that are listed for quotation, or quoted, on a securities exchange or recognised market operator and shall be duly recorded within 7 days of the acquisition or subsequent change in interest.
2. The name and number of units of securities held at the time the capital markets services licence or representative's licence is granted shall be recorded in the relevant columns of the table below. For such holding of securities, the licensed person need not record the trade date, but shall indicate that the securities were held prior to obtaining a capital markets services licence or a representative's licence.

Name of person having interest in securities:

\_\_\_\_\_

Trade date	Full name of securities	Name of broker	Unit price	No. of units acquired	No. of units disposed	Balance in units	Manner in which securities acquired/disposed <sup>+</sup>

<sup>+</sup>This column is to be completed only where the securities are acquired or disposed other than by way of a normal market transaction.