

SECURITIES AND FUTURES ACT  
(Cap. 289)

SECURITIES AND FUTURES  
(LICENSING AND CONDUCT OF BUSINESS)  
REGULATIONS (Rg 10)

**NOTIFICATION OF CHANGE OF  
REPRESENTATIVE'S PARTICULARS UNDER  
REGULATION 5**

FORM

**16**

1. Name of holder of :  
representative's  
licence  
(the licensee) \_\_\_\_\_
2. Licence no. : \_\_\_\_\_
3. Expiry date of :  
licence \_\_\_\_\_  
(dd/mm/yy)

II. CHANGE IN PERSONAL PARTICULARS

1. If there are changes to any of the following particulars which were previously furnished to the Monetary Authority of Singapore (the Authority), complete the relevant boxes.

1.1	Residential Address	
1.2	Contact No(s).	
1.3	E-mail Address	
1.4	Name	
1.5	Nationality (For non-Singapore citizens, state whether you are a Singapore permanent resident) Unique Identification No. (UIN, for Singapore permanent resident where applicable)	
1.6	Passport No. (for non-Singapore citizen)	

<p>1.7 For licensee who is not a Singapore citizen or permanent resident, has the applicant obtained Employment Pass (EP)?</p>	<p><input type="checkbox"/> Yes Immigration Ref. No.: _____ Date of grant of EP: _____ Expiry date of EP: _____</p> <p><input type="checkbox"/> No but has applied for EP. Date of application for EP: _____</p> <p><input type="checkbox"/> No and has not applied for EP. Reason(s) for not applying: _____</p>
<p>1.8 Whether permanent resident in another country and if so which country?</p>	

2. If there are changes to any of the following particulars which were previously furnished to the Authority, complete the relevant boxes.

<p>2.1 Name of licensee's spouse (underline family name)</p>	
<p>2.2 Date of Birth (dd/mm/yy)</p>	
<p>2.3 Place of Birth</p>	
<p>2.4 Nationality (For non-Singapore citizens, state whether spouse is a Singapore permanent resident)</p>	
<p>2.5 Identity Card No.</p>	
<p>2.6 Passport No. (for non-Singapore citizen)</p>	
<p>2.7 Occupation</p>	
<p>2.8 Name of employer</p>	

III. CHANGE IN DIRECTORSHIP AND SHAREHOLDINGS

Set out details of any change (since the date such particulars were last submitted to the Authority) in directorship or shareholdings where 5% or more of the total number of voting shares are held by the licensee in any corporation in Singapore or elsewhere. In respect of shareholdings in any corporation in Singapore or elsewhere, attach financial statements of such corporations for the past 2 financial years.

Name of corporation and place of incorporation	Nature of business	Directorship (executive/ non-executive)	Date of appointment	Percentage shareholding in corporation	Date of Resignation

IV. DECLARATION

I am aware that section 329(1), (3) and (4) of the Securities and Futures Act (Cap. 289) (the Act) provides as follows:

“ANY PERSON WHO FURNISHES THE AUTHORITY WITH ANY INFORMATION UNDER THIS ACT SHALL USE DUE CARE TO ENSURE THAT THE INFORMATION IS NOT FALSE OR MISLEADING IN ANY MATERIAL PARTICULAR.

ANY PERSON WHO SIGNS ANY DOCUMENT LODGED WITH THE AUTHORITY SHALL USE DUE CARE TO ENSURE THAT THE DOCUMENT IS NOT FALSE OR MISLEADING IN ANY MATERIAL PARTICULAR; AND

ANY PERSON WHO CONTRAVENES SUBSECTION (1) OR (3) SHALL BE GUILTY OF AN OFFENCE AND SHALL BE LIABLE ON CONVICTION TO A FINE NOT EXCEEDING \$50,000 OR TO IMPRISONMENT FOR A TERM NOT EXCEEDING 2 YEARS OR TO BOTH.”.

I declare that all information given in this notification is true and correct.

Signature: \_\_\_\_\_

Date: \_\_\_\_\_  
(dd/mm/yy)