

SECURITIES AND FUTURES ACT  
(Cap. 289)

SECURITIES AND FUTURES  
(LICENSING AND CONDUCT OF BUSINESS)  
REGULATIONS (Rg 10)

**NOTICE OF CESSATION TO ACT AS A  
REPRESENTATIVE IN ANY OR ALL OF THE  
REGULATED ACTIVITIES UNDER SECTION 93(1)  
AND REGULATION  
11(3) OR (4)/NOTICE OF CESSATION  
AS A PERSON TO WHOM DIVISION 1  
OF PART VII OF ACT APPLIES  
UNDER SECTION 132(3)**

FORM

**8**

1 Name of holder of :  
. representative's licence  
(the licensee)

\_\_\_\_\_

2 Licence no. :

.

\_\_\_\_\_

3 Expiry date of licence :

.

\_\_\_\_\_

(dd/mm/yy)

Notice is hereby given that with effect from, \_\_\_\_\_ (dd/mm/yy) the  
abovementioned licensee will cease/has ceased\* —

to act as a representative of \_\_\_\_\_ (name of  
principal) with respect to all regulated activities to which the licence relates and  
to keep a register of interests in securities under Part VII of the Securities and  
Futures Act; OR

to act as a representative of \_\_\_\_\_ (name of  
principal) with respect to the following activity(s):

Dealing in Securities

Trading in Futures Contracts

Leveraged Foreign Exchange Trading

Advising on Corporate Finance

Fund Management

DECLARATION

I AM AWARE THAT REGULATION 11(3) AND (4) OF THE SECURITIES AND FUTURES (LICENSING AND CONDUCT OF BUSINESS) REGULATIONS (RG 10) (THE REGULATIONS) PROVIDES THAT WHERE A LICENSED PERSON CEASES TO ACT AS A REPRESENTATIVE IN RESPECT OF ANY OR ALL OF THE REGULATED ACTIVITIES TO WHICH HIS LICENCE RELATES, HE SHALL RETURN HIS LICENCE TO THE MONETARY AUTHORITY OF SINGAPORE, AND THAT A BREACH OF REGULATION 11 OF THE REGULATIONS WOULD CONSTITUTE AN OFFENCE UNDER REGULATION 55 OF THE REGULATIONS AND THE PERSON COMMITTING THE OFFENCE SHALL BE LIABLE ON CONVICTION TO A FINE NOT EXCEEDING \$25,000.

I hereby attach to this Form the original copy of my licence.

Signature of licensee

\_\_\_\_\_

Date

\_\_\_\_\_

(dd/mm/yy)

*Notes:*

- (1) Where there is an asterisk (\*), please delete whichever is inapplicable.
- (2) Please tick (✓) in the relevant boxes where appropriate.