

SECURITIES AND FUTURES ACT
(Cap. 289)

SECURITIES AND FUTURES
(LICENSING AND CONDUCT OF BUSINESS)
REGULATIONS (Rg 10)

**REGISTER OF INTERESTS IN SECURITIES UNDER
SECTION 131(1)(a) AND REGULATION 3D**

FORM
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Explanatory Notes

1. Particulars of interests in securities required to be recorded in the register under regulation 3D of the Securities and Futures (Licensing and Conduct of Business) Regulations (Rg 10) relate to only those securities that are listed for quotation, or quoted, on a securities exchange or recognised market operator and shall be duly recorded within 7 days of the acquisition or subsequent change in interest.

2. The name and number of units of securities held at the time the capital markets services licence is granted or the representative is appointed shall be recorded in the relevant columns of the table below. For such holding of securities, the holder of the capital markets services licence or the representative need not record the trade date, but shall indicate that the securities were held prior to obtaining a capital markets services licence or appointment of the representative.

Name of person having interest in securities:

Trade date	Full name of securities	Name of broker	Unit price	No. of units acquired	No. of units disposed	Balance in units	Manner in which securities acquired/disposed ⁺

⁺ This column is to be completed only where the securities are acquired or disposed other than by way of a normal market transaction.