

TRUST COMPANIES ACT (Cap. 336)
TRUST COMPANIES REGULATIONS 2005

**APPOINTMENT OF RESIDENT MANAGER
OR DIRECTOR UNDER SECTION 13 AND
REGULATION 9**

FORM

4

Explanatory Notes

1. Please read the explanatory notes and questions carefully before completing the application form.
2. All questions must be answered. If a question is not applicable, please mark "N.A." in the space provided. Should there be insufficient space for your answers, please attach annex(es) which should be identified as such and signed by the signatory to this application.
3. Where there is an asterisk (*), please delete whichever is inapplicable.
4. Please tick (✓) in the relevant boxes where appropriate.
5. If there are any changes in the information furnished in the application prior to the approval of the application, the Monetary Authority of Singapore (the Authority) should be notified immediately.
6. This application form is to be signed by a director or the secretary of the applicant, and accompanied by the Appendix to this form, duly completed and signed by the appointee.

FOR OFFICIAL USE ONLY

Application received on:

Remarks:

I. APPLICATION IS HEREBY MADE FOR

 (Full name of appointee, including any alias and other names. Please underline surname.)

TO BE APPOINTED AS A(N) RESIDENT MANAGER/ EXECUTIVE DIRECTOR/
 NON-EXECUTIVE DIRECTOR* OF

 (Full name of licensed trust company/proposed licensed trust company*)

II. PERSONAL PARTICULARS

Set out the personal particulars of the appointee as follows:

1. Residential Address	
2. Telephone No.	Office:
3. Date of Birth (dd/mm/yy)	
4. Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female
5. Nationality	
6. NRIC No. / Passport No.*	
7. Has the individual studied, worked or resided in Singapore previously?	<input type="checkbox"/> Yes <input type="checkbox"/> No

III. EDUCATIONAL AND PROFESSIONAL QUALIFICATIONS

Set out details of the highest academic and professional qualifications attained by the appointee as follows:

Name and location of school/college/university	Period (mm/yy)		Certificate/diploma/degree awarded
	From	To	

Professional qualifications	Institution	Year conferred

IV. EMPLOYMENT HISTORY

Set out details of the appointee's employment history (including periods of part-time employment or unemployment), business and other activities during the past 10 years as follows:

Name and address of employer (if self-employed, please indicate)	Nature of business of employer	Name of regulatory authority of employer	Designation and Department	Brief description of duties	Period (mm/yy)	
					From	To

Note: Where the appointee holds concurrent appointments, please indicate clearly in the table above.

V. DIRECTORSHIP AND SUBSTANTIAL SHAREHOLDING

- Set out details of any directorship held by the appointee in any corporation as follows:

Name of corporation and place of incorporation	Nature of business	Name of regulatory authority	Directorship (executive/ non-executive)	Date of appointment

2. Set out any substantial shareholdings (5% or more of total votes attached to all the voting shares) held by the appointee in any corporation as follows:

Name of corporation and place of incorporation	Nature of business	Name of regulatory authority	Percentage shareholding in corporation

- VI.** On the basis of due and diligent enquiry made on the background of the appointee named in this form and other information available, we believe him/her* to be of good character and reputation and to have the competence and experience to perform the functions and duties of a resident manager/director*.

Signature : _____

Name : _____

Designation : _____

Date : _____

(dd/mm/yy)

APPENDIX TO FORM 4**1. ADDITIONAL INFORMATION**

If the answer to any of the following questions is in the affirmative, attach annexes and supporting documents, where appropriate, to provide all relevant particulars.

	Yes	No
Within the past 10 years, has the appointee —		
(a) been licensed, registered or approved under a law in any jurisdiction which requires licensing, registration or approval in relation to any activity? Please provide the name(s) of the relevant regulatory authority/authorities.	<input type="checkbox"/>	<input type="checkbox"/>
(b) been licensed, registered, or otherwise authorised by law to carry on any trade, business (including sole proprietorships and partnerships) or profession (including accountancy, engineering, law and architecture) in any jurisdiction? Please provide the name(s) of the relevant regulatory authority/authorities.	<input type="checkbox"/>	<input type="checkbox"/>
(c) been refused the right or restricted in his right to carry on any trade, business or profession for which a specific licence, registration or other authorisation is required by law in any jurisdiction?	<input type="checkbox"/>	<input type="checkbox"/>
(d) been censured, disciplined, suspended or refused membership or registration by a regulatory authority of any business or profession?	<input type="checkbox"/>	<input type="checkbox"/>
(e) been involved with a corporation which had been censured, disciplined, suspended or refused membership or registration by a regulatory authority of any business or profession?	<input type="checkbox"/>	<input type="checkbox"/>
(f) been an officer ¹ in any corporation other than the licensed trust company or proposed licensed trust company, as the case may be?	<input type="checkbox"/>	<input type="checkbox"/>
(g) been convicted of any offence in Singapore or elsewhere or been subject to any proceedings currently pending which may lead to such a conviction?	<input type="checkbox"/>	<input type="checkbox"/>
(h) had any judgment (including a finding of fraud, misrepresentation, or dishonesty) given against him in any civil proceedings, in Singapore or elsewhere, or been a party to any proceedings currently pending which may lead to such a judgment?	<input type="checkbox"/>	<input type="checkbox"/>
(i) been the subject of receivership or judicial management, in Singapore or elsewhere?	<input type="checkbox"/>	<input type="checkbox"/>
(j) been declared an undischarged bankrupt, entered into a compromise or scheme of arrangement with his creditors or made an assignment for the benefit of his creditors, in Singapore or elsewhere?	<input type="checkbox"/>	<input type="checkbox"/>
(k) been refused a fidelity or surety bond, in Singapore or elsewhere?	<input type="checkbox"/>	<input type="checkbox"/>

¹ As defined under Section 4 of the Companies Act (Cap.50)

2. DECLARATION

I am aware that section 62(1) and (3) of the Act provide as follows:

“ANY PERSON WHO FURNISHES THE AUTHORITY WITH ANY INFORMATION UNDER THIS ACT SHALL USE DUE CARE TO ENSURE THAT THE INFORMATION IS NOT FALSE OR MISLEADING IN ANY MATERIAL PARTICULAR.

ANY PERSON WHO SIGNS ANY DOCUMENT LODGED WITH THE AUTHORITY SHALL USE DUE CARE TO ENSURE THAT THE DOCUMENT IS NOT FALSE OR MISLEADING IN ANY MATERIAL PARTICULAR.

ANY PERSON WHO CONTRAVENES SUBSECTION (1) OR (3) SHALL BE GUILTY OF AN OFFENCE AND SHALL BE LIABLE ON CONVICTION TO A FINE NOT EXCEEDING \$25,000 OR TO IMPRISONMENT FOR A TERM NOT EXCEEDING 2 YEARS OR TO BOTH.”

3. I declare that all information given in this application and Appendix and in the attached annexes (if any) is true and correct.

Signature : _____
(Signed by appointee)

Date : _____
(dd/mm/yy)