

SECURITIES AND FUTURES ACT (CAP. 289)
SECURITIES AND FUTURES (DISCLOSURE OF
INTERESTS) REGULATIONS 2012
**NOTIFICATION FORM FOR REGISTERED HOLDER
OF SECURITIES**

FORM

4

Explanatory Notes

1. Please read the explanatory notes carefully before completing this notification form.
2. This form, which is only available in non-electronic format, is for a Registered Holder to give notice to another person, who has an interest in the shares/units held by the Registered Holder, under section 137B, 137J (as applicable to section 137B) or 137U (as applicable to section 137B) of the Securities and Futures Act (Cap. 289) (the “SFA”).
3. This form must be completed by the Registered Holder or a person duly authorised by him to do so. The person so authorised should maintain records of information furnished to him by the Registered Holder.
4. Upon its completion, this form, together with the continuation sheets for additional transaction(s), if any, should be sent to the relevant deemed interest shareholder(s)/unitholder(s).
5. A single form may be used by a Registered Holder for more than one transaction resulting in notifiable obligations which occur within the same notifiable period (i.e. within two business days of the earliest transaction). There must be no netting-off of two or more notifiable transactions even if they occur within the same day.
6. All applicable parts of the notification form must be completed. If there is insufficient space for your answers, please include attachment(s)/annex(es) which should be appropriately identified.
7. Except for item 2 of Part II, please select only one option from the relevant check boxes.
8. Please note that submission of any false or misleading information is an offence under Part VII of the SFA.
9. The term “Listed Issuer” as used in this form refers to –
 - (a) a company incorporated in Singapore any or all of the shares in which are listed for quotation on the official list of a securities exchange;
 - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;
 - (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
 - (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or

- (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estate-related assets specified by the Authority in the Code on Collective Investment Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing (“Real Estate Investment Trust”).
10. The term “Registered Holder” as used in this form refers to any person whose name is entered into the register of the Listed Issuer as a holder of a share or unit (as the case may be) in the Listed Issuer. For this purpose, where the Depository or its nominee is entered into the register of the Listed Issuer as the holder of a share or unit (as the case may be) in the Listed Issuer, the Depository or its nominee shall be deemed not to be a Registered Holder and the persons named as the depositors in a Depository Register shall, for such period as the book-entry securities are entered against their names in the Depository Register, be deemed to be the Registered Holders of the amount of book-entry securities entered against their respective names in the Depository Register.
 11. In this form, the terms “book-entry securities”, “depositor”, “Depository” and “Depository Register” have the same meaning as in section 130A of the Companies Act (Cap. 50).

Part I - General

1. Name of Listed Issuer:

2. Type of Listed Issuer:

- Company/Corporation
- Registered/Recognised Business Trust
- Real Estate Investment Trust

3. Notification by:

4. Notice given to¹:

No.	Name of Shareholder/Unitholder to whom notice is being given
1.	
2.	
3.	
4.	
5.	
6.	
7.	
8.	
9.	
10.	

5. Date of notification to shareholder(s)/unitholder(s):

¹ Please state the name(s) of each shareholder(s)/unitholder(s) receiving this notification form.

Part II – Transaction Details

Transaction “A”²

1. Date of acquisition or disposal of interest:

2. Type of securities which are the subject of the transaction (*more than one option may be chosen*):

- Voting shares/units
- Rights/Options/Warrants over voting shares/units
- Convertible debentures over voting shares/units (*conversion price known*)
- Others (*please specify*):

3. Number of shares, units, rights, options, warrants and/or principal amount of convertible debentures acquired or disposed of by Registered Holder:

4. If the securities which are acquired or disposed are rights/options/warrants/convertible debentures (*conversion price known*) over voting shares/units, please state the number of voting shares/units underlying the rights/options/warrants/convertible debentures (*if known*):

5. Amount of consideration paid or received by Registered Holder (*excluding brokerage and stamp duties*):

6. Circumstance giving rise to acquisition or disposal:

Acquisition of:

- Securities via market transaction
- Securities via off-market transaction (*e.g. married deals*)

² In a case where the Registered Holder is giving notice in respect of multiple transactions in the same form, please use Form 4 - Continuation Sheet for Part II (Notification of Additional Transaction(s) by Registered Holder), to provide details of each additional transaction.

- Securities via physical settlement of derivatives or other securities
- Securities pursuant to rights issue
- Securities via a placement
- Securities following conversion/exercise of rights, options, warrants or other convertibles

Disposal of:

- Securities via market transaction
- Securities via off-market transaction (*e.g. married deals*)

Other circumstances:

- Acceptance of take-over offer for the Listed Issuer
- Others (*please specify*):

7. If this is a **replacement** of an earlier notification, please state the date on which the **first** notification was given to the Substantial Shareholder/ Unitholder:

8. Remarks (if any):

Continuation of Part II for an additional transaction(s) "B", "C" etc

[Use Form 4 - Continuation Sheet for Part II (Notification of Additional Transaction(s) by Registered Holder) provided on the Authority's Internet website.]

Item 9 is to be completed by an individual submitting this notification form on behalf of a Registered Holder.

9. Particulars of Individual submitting this notification form to the shareholder(s)/unitholder(s):

(a) Name of Individual:

(b) Designation (*if applicable*):

(c) Name of entity (*if applicable*):

Particulars and Contact Details of Registered Holder:

1. Name:

2. Identification type and No.:

NRIC No. (*for Singapore citizen and permanent residents*)

FIN/Passport No. (*for foreign individuals*)

Corporation Identification/Registration No.³

3. Country of issue of Passport (*for foreign individuals*) / Country of Incorporation or Constitution (*for foreign entities*):

4. Details of Contact Person for clarifications on information contained in this Form:

(a) Name:

(b) Contact Number:

(c) Contact Email:

³ Where the person is an entity, please provide the entity's identification or registration number or Unique Entity Number (UEN).