

SURVEY OF THE ASSET MANAGEMENT INDUSTRY (as at 31 December 2020)

SECTION II: AUTHORISED COLLECTIVE INVESTMENT SCHEMES

GENERAL INFORMATION

Name of Singapore Office :

Reporting Cycle :

Company Code/ User ID :

Reset all figures in this return to zero

Import from xfdf file

Export to xfdf file for submission to MAS

(Please make sure you entered all forms required for your company before export)

Important Notes:

1. Deadline for submission is **1 March 2021**.
2. Submit the survey electronically by uploading the completed form through MAS website.
You are also required to fill up the General Information section on the first page of the main form.
3. Completing the survey form:
 - a) Fill in either text / number where appropriate.
 - b) Leave cells blank if you have no activity in that area
 - c) Figures should be reported in **millions of Singapore Dollars (S\$ million)** and should be rounded to **2 decimal places**. For the purpose of translating foreign currencies into Singapore dollars, please use the stipulated daily exchange rates for the last trading day of 2020 available on MAS website: <https://secure.mas.gov.sg/msb/ExchangeRates.aspx>
4. Boxes shaded in grey will be automatically computed.

SECTION II. AUTHORISED COLLECTIVE INVESTMENT SCHEMES ("CIS")¹

A. INVESTMENT FOCUS AND FUND SIZE OF INDIVIDUAL CIS

	Name of CIS ²	Fund Type ⁵	Date of Initial Launch	Investment Focus ⁶	Expense ratio ³	AUM ⁴ (\$\$ mil) attributed to units subscribed in Singapore as at 31 Dec 2020			(S\$ mil) as at 31 Dec 2020	
						CPF Monies	Non-CPF Monies ⁷	Total	Total AUM (\$\$ mil) that invests in foreign CIS	Other jurisdictions where CIS is offered ⁸
A.1	Included under the CPF Investment Scheme (CPFIS)									
1	More than 50% managed in Singapore									
1.1										
1.2										
1.3										
1.4										
1.5										
1.6										
1.7										
1.8										
1.9										
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Name of CIS ²	Fund Type ⁵	Date of Initial Launch	Investment Focus ⁶	Expense ratio ³	AUM ⁴ (\$\$ mil) attributed to units subscribed in Singapore as at 31 Dec 2020			(S\$ mil) as at 31 Dec 2020	
					CPF Monies	Non-CPF Monies ⁷	Total	Total AUM (\$\$ mil) that invests in foreign CIS	Other jurisdictions where CIS is offered ⁸
1.31									
1.32									
1.33									
1.34									
1.35									
1.36									
1.37									
1.38									
1.39									
1.40									
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1.55									

Name of CIS ²	Fund Type ⁵	Date of Initial Launch	Investment Focus ⁶	Expense ratio ³	AUM ⁴ (\$\$ mil) attributed to units subscribed in Singapore as at 31 Dec 2020			(S\$ mil) as at 31 Dec 2020	
					CPF Monies	Non-CPF Monies ⁷	Total	Total AUM (S\$ mil) that invests in foreign CIS	Other jurisdictions where CIS is offered ⁸
2	Invests more than 50% in foreign CIS								
2.1									
2.2									
2.3									
2.4									
2.5									
2.6									
2.7									
2.8									
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					AUM ⁴ (\$ mil) attributed to units subscribed in Singapore as at 31 Dec 2020			(S\$ mil) as at 31 Dec 2020	
Name of CIS ²	Fund Type ⁵	Date of Initial Launch	Investment Focus ⁶	Expense ratio ³	CPF Monies	Non-CPF Monies ⁷	Total	Total AUM (S\$ mil) that invests in foreign CIS	Other jurisdictions where CIS is offered ⁸
3	More than 50% sub-managed abroad								
3.1									
3.2									
3.3									
3.4									
3.5									
3.6									
3.7									
3.8									
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Sub-total [(1) + (2) + (3)]									

Name of CIS ²	Fund Type ⁵	Date of Initial Launch	Investment Focus ⁶	Expense ratio ³	AUM ⁴ (S\$ mil) attributed to units subscribed in Singapore as at 31 Dec 2020			(S\$ mil) as at 31 Dec 2020	
					CPF Monies	Non-CPF Monies ⁷	Total	Total AUM (S\$ mil) that invests in foreign CIS	Other jurisdictions where CIS is offered ⁸
A.2.	Non-CPFIS								
4	More than 50% managed in Singapore								
4.1									
4.2									
4.3									
4.4									
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Name of CIS ²	Fund Type ⁵	Date of Initial Launch	Investment Focus ⁶	Expense ratio ³	AUM ⁴ (S\$ mil) attributed to units subscribed in Singapore as at 31 Dec 2020			(S\$ mil) as at 31 Dec 2020	
					CPF Monies	Non-CPF Monies ⁷	Total	Total AUM (S\$ mil) that invests in foreign CIS	Other jurisdictions where CIS is offered ⁸
4.31									
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Name of CIS ²	Fund Type ⁵	Date of Initial Launch	Investment Focus ⁶	Expense ratio ³	AUM ⁴ (\$\$ mil) attributed to units subscribed in Singapore as at 31 Dec 2020			(S\$ mil) as at 31 Dec 2020	
					CPF Monies	Non-CPF Monies ⁷	Total	Total AUM (S\$ mil) that invests in foreign CIS	Other jurisdictions where CIS is offered ⁸
5	Invests more than 50% in foreign CIS								
5.1									
5.2									
5.3									
5.4									
5.5									
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Name of CIS ²	Fund Type ⁵	Date of Initial Launch	Investment Focus ⁶	Expense ratio ³	AUM ⁴ (\$ mil) attributed to units subscribed in Singapore as at 31 Dec 2020			(S\$ mil) as at 31 Dec 2020	
					CPF Monies	Non-CPF Monies ⁷	Total	Total AUM (S\$ mil) that invests in foreign CIS	Other jurisdictions where CIS is offered ⁸
6	More than 50% sub-managed abroad								
6.1									
6.2									
6.3									
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6.34									
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6.43									
6.44									
6.45									
Sub-total [(4) + (5) + (6)]									
Total [(1) to (6)]									

¹ Include only CIS authorised and offered in Singapore to **retail** investors.

² For each sub-fund of an umbrella fund, please state the name of the umbrella fund followed by the name of the sub-fund.

³ The expense ratio should be calculated in accordance with IMAS' guidelines on the disclosure of expense ratios and based on a 12-month period ending on the fund's most recent full or half financial year, as of the date of submission.

⁴ Assets under management.

⁵ Please indicate the fund type by the letter of the alphabet as shown below. (Category 'f' may overlap with the other categories. Under such circumstances, please classify the fund as 'f'.)

a - Equity Fund (funds which invest in all types of equities should be classified here including those which invest in stocks of a certain sector, such as technology, telecommunications, or property stocks)

b - Fixed Income Fund

c - Balanced Fund (equity and fixed income)

d1 - Money Market Fund (as defined in Appendix 2 of the Code on Collective Investment Schemes)

d2 - Money Market Fund (the objective of the fund is to invest in money market instruments but the fund does not comply with the guidelines as stated in Appendix 2)

e1 - Single Hedge Fund (as defined in Appendix 3 of the Code on Collective Investment Schemes)

e2 - Fund-of-Hedge Fund (as defined in Appendix 3 of the Code on Collective Investment Schemes)

f - Capital Guaranteed Fund (as defined in Appendix 4 of the Code on Collective Investment Schemes)

g - Index Fund (as defined in Appendix 5 of the Code on Collective Investment Schemes)

h - Property Fund (as defined in Appendix 6 of the Code on Collective Investment Schemes)

i - Fund-of-Funds or Multi-Manager Fund

o - Others

⁶ To provide a brief description of investment focus, e.g. global equity, global bond, Asian equity, European technology stocks.

⁷ For CIS included under CPFIS, please enter data for subscriptions with cash here.

⁸ For CIS that are also offered overseas, please indicate the respective jurisdiction(s) by the letter of the alphabet as shown below. If the CIS is not offered outside Singapore, please indicate "f" (see list below)

a1 - Malaysia

a2 - Thailand

a3 - Indonesia

a4 - Any other ASEAN country

b1 - Australia

b2 - China

b3 - Hong Kong

b4 - South Korea

b5 - India

b6 - Japan

b7 - Any other Asia-Pacific country not in ASEAN

c1 - UK

c2 - Ireland

c3 - Luxembourg

c4 - Switzerland

c5 - Germany

c6 - Any other EU country

d1 - United States

d2 - Canada

d3 - Any other country in the Americas

e1 - UAE

e2 - Qatar

e3 - Any other country in the Middle East

f - The CIS is not offered outside Singapore

B. SUBSCRIPTIONS AND REDEMPTIONS OF CIS IN 2020**(S\$ mil)**

	CPF Monies	Non-CPF Monies⁹	Total
(1) Gross Subscriptions			
(2) Gross Redemptions			
(1) - (2)			

C. CIS ASSETS UNDER MANAGEMENT BY COUNTRY OF INVESTMENT**(S\$ mil) as at 31 Dec 2020**

Country/Region	AUM
ASIA PACIFIC	
EUROPE	
US	
OTHERS	
Total	

⁹ Please include subscriptions to / redemptions from CIS included under the CPFIS where cash was used.