

**FORM 1**

Regulation 6(1)(a)

**FINANCIAL ADVISERS ACT  
(CHAPTER 110)  
FINANCIAL ADVISERS (APPEALS)  
REGULATIONS**

**NOTICE OF APPEAL**

Date of Service of Notice on Secretary:

Name of Appellant:

NRIC No./Company Registration No.\*:

Address(es):

Telephone Number(s):

This appeal is made under section \_\_\_\_ of the Financial Advisers Act.

The appeal is against the Authority's decision of:  
*(state date and brief description of decision appealed against)*

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Signature of Appellant/  
Advocate and Solicitor  
acting for the Appellant\*

\*Delete whichever is inapplicable.