

SECURITIES AND FUTURES ACT  
(CAP. 289)

SECURITIES AND FUTURES (CENTRAL DEPOSITORY  
SYSTEM) REGULATIONS 2015

REGULATION 11(4)

**NOTIFICATION OF BANKRUPTCY OF  
ACCOUNT HOLDER**

FORM

**4**

*Explanatory Notes*

1. Please read the explanatory notes and questions carefully before completing the form.
2. All fields must be completed. If a field is not applicable, please mark “N.A” in the space provided.
3. Where there is an asterisk (\*), please delete whichever is inapplicable.
4. This Form must be accompanied by a certified true copy of the order appointing the trustee(s) in bankruptcy.
5. Where execution is on behalf of a body corporate, each signatory should state his/her representative capacity (e.g. ‘Company Secretary’, ‘Director’) against his/her signature.
6. List of Authorised Representatives for witnessing of the trustee’s signature and certification of supporting documents include:
  - i. Notary Public officially registered with the country’s governmental institution;
  - ii. Advocates and Solicitors (preferably with branch in Singapore);
  - iii. Singapore Embassy;
  - iv. Justice of Peace; and
  - v. Commissioners for Oaths.
7. Submission of this Form must be accompanied by the fees payable to the Depository for the Notification of Bankruptcy of Account Holder.
8. Personal attendance at the Depository is necessary for the execution of this Form unless such attendance is exempted by the Depository on such terms and conditions as it may require.
9. Part V of this form is not applicable to the Official Assignee and Public Trustee.



V

\*I/We hereby request that you transfer the abovementioned book-entry securities to designated securities account(s) as follows:

(1) First Successor / Nominee

Name \_\_\_\_\_

NRIC/Passport No.

Securities A/c No.  -  -

(2) Second Successor / Nominee

Name \_\_\_\_\_

NRIC/Passport No.

Securities A/c No.  -  -

Name of Securities (in full)	Quantity

Name of Securities (in full)	Quantity

(3) Third Successor / Nominee

Name \_\_\_\_\_

NRIC/Passport No.

Securities A/c No.  -  -

(4) Fourth Successor / Nominee

Name \_\_\_\_\_

NRIC/Passport No.

Securities A/c No.  -  -

Name of Securities (in full)	Quantity

Name of Securities (in full)	Quantity

\*I/We also request that you close the abovementioned bankrupt's securities account after effecting the necessary transfers.

VI

**FOR USE BY THE OFFICIAL ASSIGNEE / PUBLIC TRUSTEE ONLY (PART V OF THIS FORM IS TO BE CANCELLED)**

\*I/We hereby request that the abovementioned securities account be amended so that:

- (1) the words 'The Official Assignee/Public Trustee' are added to the name of the securities account;
- (2) all correspondences from the Depository with regards to the securities account are sent to \*me/us at the following address:

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 ----- ; and

- (3) all trading accounts which are linked to the securities account be de-linked.

\*I/We agree to abide by the CDP Depository Rules and the Terms and Conditions for Operation of Securities Account with The Central Depository (Pte) Limited.

**Signed**

Witness by Authorised Representative

1. ----- 2. -----

Name : \_\_\_\_\_

Signature : \_\_\_\_\_

3. ----- 4. -----

Company : \_\_\_\_\_

Date : \_\_\_\_\_

Date -----

**FOR OFFICIAL USE:**

Received by/Date: ----- Verified by/Date: -----

Input by/Date: ----- Approved by/Date: -----