

SECURITIES AND FUTURES ACT  
(CAP. 289)

SECURITIES AND FUTURES (ORGANISED  
MARKETS) REGULATIONS 2018

**NOTICE CALLING FOR CLAIMS AGAINST  
FIDELITY FUND OF AN APPROVED EXCHANGE  
UNDER SECTION 188(1) OF THE SECURITIES AND  
FUTURES ACT (CAP. 289)**

FORM

**10**

In the matter of \_\_\_\_\_ (name of member company) of \_\_\_\_\_  
(address of member company)

Notice is hereby given to all persons claiming to have suffered pecuniary loss from defalcation of the abovenamed member company or by any of its agents, in relation to any money or property entrusted to or received by the company or by its agent that such persons are required to deliver to the Committee/Board of the \_\_\_\_\_ (name of approved exchange) particulars of their claims in writing on or before \_\_\_\_\_ (date/time).

Under section 188 of the Securities and Futures Act (Cap. 289), claims not received by the Committee/Board on or before such date will be barred and no payment in respect thereof will be made from the fidelity fund.

Dated this \_\_\_\_\_ day of \_\_\_\_\_ for and on behalf of \_\_\_\_\_ (name of approved exchange).

*Note:*

For the purposes of this form, "agent" has the same meaning as in section 186(13) of the Securities and Futures Act (Cap. 289).