

SECURITIES AND FUTURES ACT
(CAP. 289)
SECURITIES AND FUTURES (ORGANISED
MARKETS) REGULATIONS 2018
REGULATION 9(1)(d)(ii)
**QUARTERLY STATISTICS TO BE SUBMITTED BY
AN APPROVED EXCHANGE OPERATING AN
ORGANISED MARKET IN RESPECT OF
SPECIFIED PRODUCTS**

FORM
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Explanatory Notes

1. Please read the explanatory notes and questions carefully before completing the form.
2. All questions must be answered. If a question is not applicable, please mark “N.A.” in the space provided.
3. The approved exchange should provide any additional information considered relevant or material to the various parts of this form.
4. If there are any changes in the submitted information, the Monetary Authority of Singapore should be notified immediately.

I. NEW LISTINGS, ISSUANCES AND DELISTINGS

1. Provide the following information on new listings on the respective market or trading board of the approved exchange and new admittances to the approved exchange's official list that have occurred in the last 3 completed months:
 - (a) the approved exchange's market or trading board (e.g. main board or secondary board) on which corporation is listed;
 - (b) the name of newly listed corporation;
 - (c) the country of incorporation of the newly listed corporation, and if different, the country of the newly listed corporation's principal place of business;
 - (d) the newly listed corporation's sector classification on the approved exchange's market or trading board (e.g. commerce; finance; multi-industry, etc.);
 - (e) the date of listing; and
 - (f) if applicable, the names of other exchanges which the newly listed corporation is also listed on.

2. Provide the following information on new issues or placement of securities on the market operated by the approved exchange that have occurred in the last 3 completed months:
 - (a) the name of issuing corporation;
 - (b) the type of issuance (e.g. new issue; secondary issue; private placement, etc.);
 - (c) the type of specified products issued (e.g. ordinary shares; preference shares; fixed income specified products, etc.); and
 - (d) the details of the issuance (e.g. number of specified products offered; issue price; tenor and interest rate for fixed income specified products; ratio for rights issue; amount raised; last day of payment).

3. Provide the following information on the delistings on the respective market or trading board of the approved exchange and the approved exchange's official list that have occurred in the last 3 completed months:
- (a) the approved exchange's market or trading board (e.g. main board or secondary board) on which the delisted corporation was listed;
 - (b) the name of the delisted corporation;
 - (c) the country of incorporation of the delisted corporation, and if different, the country of the delisted corporation's principal place of business;
 - (d) the reasons for delisting;
 - (e) the date of delisting; and
 - (f) if applicable, the names of other exchanges which the delisted corporation is or was also listed on.

II FOREIGN STOCKS, TRADING LINKAGES AND MARKET CAPITALISATION

1. Provide the following information on foreign stocks listed on the respective market or trading board of the approved exchange, on a monthly basis, for the last 3 completed months:
 - (a) the turnover contributed by foreign stocks, by volume and value;
 - (b) the turnover contributed by foreign stocks, by volume and value, as a percentage of the turnover of the markets or trading boards that they are listed on;
 - (c) the top 20 most active foreign stocks, by volume and value;
 - (d) the market capitalisation contributed by foreign stocks;
 - (e) the market capitalisation contributed by foreign stocks, as a percentage of the market capitalisation of the markets or trading boards that they are listed on; and
 - (f) the top 20 largest foreign stocks, by market capitalisation, and their individual capitalisation as a percentage of the total market capitalisation.

Note: “foreign stocks” means the stock of corporations whose principal place of business is not in Singapore.

2. Provide information on the turnover, by volume and value, of transactions done through trading linkages which the approved exchange may have established with overseas exchanges.
3. Provide information on the frequency distribution of companies listed or quoted on each of the respective markets or trading boards, based on appropriate intervals of market capitalisation of such corporations.