

FORM 1

Regulation 6(1)(a)

**SECURITIES AND FUTURES ACT
(CHAPTER 289)**

**SECURITIES AND FUTURES
(APPEALS) REGULATIONS**

NOTICE OF APPEAL

Date of Service of Notice on Secretary:

Name of Appellant:

NRIC No./Company Registration No.*:

Address(es):

Telephone Number(s):

This appeal is made under section ____ of the Securities and Futures Act.

The appeal is against the Authority's decision of:
(state date and brief description of decision appealed against)

Signature of Appellant/
Advocate and Solicitor
acting for the Appellant*

*Delete whichever is inapplicable.